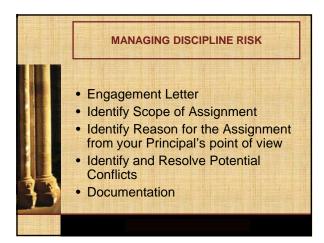
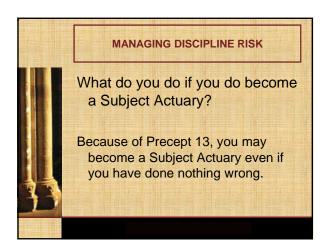


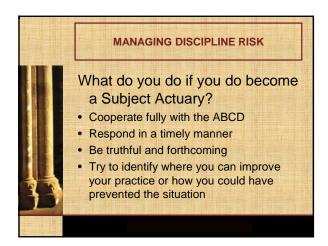
Wanaging discipline risk Use the Professionalism Documents regularly: Code of Professional Conduct Standards of Practice Statements of Principles Qualification Standards Applicable Laws and Regulations Other Documents applicable to specific projects



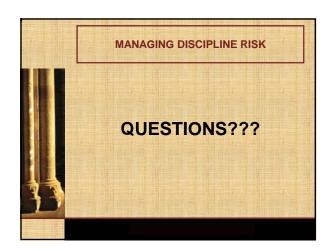


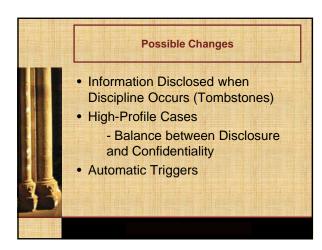
Role of ABCD is to Investigate alleged violations of the Code of Professional Conduct and recommend discipline if appropriate Counsel (provide guidance to) members Mediate disputes between members and others

An ABCD Inquiry Is a fact-finding effort, not an adversarial forum Examines whether or not an actuary materially violated the Code of Professional Conduct --- not whether an actuary is liable for damages



MANAGING DISCIPLINE RISK ABCD Deliberation Process 1. What are the issues? 2. What are the facts? 3. What Code provisions or ASOPs apply? 4. Was the Code violated? 5. Were the violations material? 6. Are there any mitigating factors? 7. Are there any aggravating factors? 8. What disposition is appropriate?





	CASES* CONSIDERED DURING		
Type of Case	Pending from 2008 and Earlier	Received in 2009	Total
Conduct	13	32	45
Practice	6	6	12
Conduct & Practice	0	2	- 2
Requests for Cividance	0	46	46
Testal	19	86	105
Cases by Practice Area	Pending from 2008 and Earlier	Received in 2009	Total
Casualty	9	22	31
Health		20	2.1
Life	2	20	22
Pension.	7	24	31
Total	19	86	105
Including requests for gui	dance	71.71	
Action by Individual ARC Replied to requests Dispusition by Chalrpers Dismissed (Referred to Investig Dismissed with Out Dispusition by Whole AB Dismissed with guit Courseled without	CANIOS CLONED D Members for guidence for guidence for guidence for guidence for guidence for Chairpersons guitors in 2009. 7) CD After Investigation lance searing		46 27 5 2 0
Action by Individual ABC Replied to requests Disposition by Chadrpers Dismissed Investig Dismissed with On Disposition by Whole AB Dismissed with guit	CANEN CLEMEN TO Members To Members an and Vice Chairpersons gators in 2009; 7) CD After Investigation lance searing ting te reprintant		27 5 2 0

