

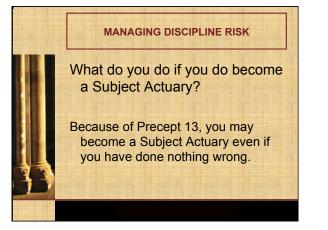


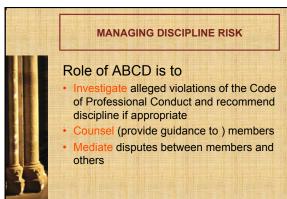
## MANAGING DISCIPLINE RISK

## Use the Professionalism Documents regularly:

- Code of Professional Conduct
- Standards of Practice
- Statements of Principles
- Qualification Standards
- Applicable Laws and Regulations
- Other Documents applicable to specific projects







## MANAGING DISCIPLINE RISK

## An ABCD Inquiry

- Is a fact-finding effort, not an adversarial forum
- Examines whether or not an actuary materially violated the Code of Professional Conduct

--- not whether an actuary is liable for damages

